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**TO:** Karen Creasy  
**COMPANY:** Office of Petitions  
**FACSIMILE #:** 703-308-6916  
**FROM:** Thomas D. Bratschun  
**DATE:** April 9, 2002

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**Application Serial No. 09/684,284**  
**Our Ref.: AVD.13-2**

*Part of  
#19*

#19

Attorney Docket: AVID.13-2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: LUMPKIN, ET AL.

SERIAL NO.: 09/685,284

FILED: OCTOBER 10, 2000

TITLE: CABLE FEED FOR A MECHANICAL  
BALL BEARING DISC BRAKE

EXAMINER: KRAMER, D.

ART UNIT: 3613

CONFIRMATION NO.: 4451

VIA FACSIMILE ONLY  
703-308-6916Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

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PETITIONS OFFICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being filed under 37 CFR § 1.97(b). This Statement is filed within at least one of the following time periods:

- (a) within three months of the filing date of a national application (other than a CPA under 37 CFR § 1.53(d));
- (b) within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application;
- (c) before the mailing of a first Office Action of the merits; or
- (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114.

No fee is believed to be due in this instance. However, the undersigned hereby authorizes the charging of any fees created by the filing of this document to Deposit Account No. 19-5117.

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office facsimile No. (703) 308-6916 on April 9, 2002.

Signature:

Name:

  
Elizabeth A. McArthur

U.S. Patent No. 4,538,707, which is submitted in this Information Disclosure Statement is not directed to a cable actuated mechanical disc brake caliper, but rather to a cantilever rim brake. The cable feed structure of Schoch illustrated in Fig. 9 fails to include a cable clamp at an attachment point which is coincident with a curved guide surface. Rather, Schoch shows a forked bracket structure receiving a barrel cable end. The Schoch structure also fails to disclose a caliper housing to which a cable guide is rigidly fixed.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,



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